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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/627,646	07/28/2003	Steven M.H. Wallman	10392-460042	3617

7590 08/17/2009
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Washington, DC 20005

EXAMINER

FU, HAO

ART UNIT	PAPER NUMBER
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3696

MAIL DATE	DELIVERY MODE
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08/17/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Interview Summary	Application No. 10/627,646	Applicant(s) WALLMAN, STEVEN M.H.	
	Examiner HAO FU	Art Unit 3696	

All participants (applicant, applicant's representative, PTO personnel):

(1) HAO FU. (3) ____.

(2) BRIAN MUDGE. (4) ____.

Date of Interview: 05 August 2009.

Type: a) ☒ Telephonic b) ☐ Video Conference
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.
If Yes, brief description: ____.

Claim(s) discussed: 1.

Identification of prior art discussed: Perham.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The applicants point out paragraph 0108 of the specification for support of the proposed amendment and explain the difference between the claimed invention and the Perham reference. It is understood that the claimed invention can holds the remaining fractional share of a stock in a separate account for sale to another investor in a later time.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

/Hao Fu/ Examiner, Art Unit 3696	
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